

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re : Chapter 11 Case No.  
LEHMAN BROTHERS HOLDINGS INC., et al., : 08-13555 (JMP)  
Debtors. : (Jointly Administered)  
-----x Ref. Docket Nos. 41326 & 41796

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK )  
                      )  
                      ) ss.:  
COUNTY OF NEW YORK )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 7, 2014, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
16<sup>th</sup> day of January, 2014

/s/ Cassandra Murray

Notary Public, State of New York  
No. 01MU6220179  
Qualified in Queens County  
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: HSBC PRIVATE BANK SUISSE SA  
F/K/A HSBC GUYERZELLER BANK AG  
ATTN: TITSS- CORPORATE ACTIONS DEPARTMENT  
QUAI GENERAL-GUISAN 2  
PO BOX 3580  
GENEVA 3 1211 SWITZERLAND

Additional:

Transferee: ROSWITHA HABIG  
THOMAS-DEHLER-STRASSE 5  
HEILIGENHAUS 42579 GERMANY

Your transfer of claim # 51605 is defective for the reason(s) checked below:

Other

CURRENCY DISCREPANCY-ISIN FILED IN USD VS. UNITS ON TRANSFER

Docket Number 41796

Date 12/23/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 7, 2014.

## EXHIBIT B

TIME: 12:35:06  
DATE: 01/07/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

08-13555-mg Doc 42100 Filed 01/17/14 Entered 01/17/14 13:08:18 Main Document  
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Name CREDIT SUISSE AG  
FIBI BANK (SWITZERLAND) LTD  
HSBC PRIVATE BANK SUISSE SA  
ROSWITHA HABIG

Address C/O CRAVATH, SWAINE & MOORE LLP ATTN: STEPHANIE TUMBILO 825 8TH AVENUE NEW YORK NY 10019  
SEESTRASSE 61 ZURICH CH-8027 SWITZERLAND  
F/K/A HSBC GÜTERZELLER BANK AG ATTN: TITSS- CORPORATE ACTIONS DEPARTMENT QUAI GENERAL-GUISAN 2 PO BOX 3580 GENEVA 3 1211 SWITZERLAND

THOMAS-DEHLER-STRASSE 5 HEILIGENHAUS 42579 GERMANY

Total Number of Records Printed 4